UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. Dox 1450 Alexandra, Viginia 22313-1450 www.usplo.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/768,722

01/30/2004

Pamela S. Greene

005127.00218

22910 BANNER & WITCOFF, LTD. 28 STATE STREET 28th FLOOR BOSTON, MA 02109-9601

CONFIRMATION NO. 5118 FORMALITIES LETTER *OC00000012992935*

Date Mailed: 06/18/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$450 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1650 for a Large Entity

07/22/2004 DEMMANU1 00000058 190733 10768722

\$770 Statutory basic filing fee.

\$130 Late oath or declaration Surcharge.

01 FC:1001 770.00 DA 130.00 DA 02 FC:1051

03 FC:1202 450.00 DA

- \$300 Early Pre-GRANT Publication Fee.
- Early Pre-grant Publication has been requested, however the early Pre-GRANT Publication Fee of \$300 as 37 CFR 1.18(d) has not been paid. The application will be published as per the normal publication schedule.
- Total additional claim fee(s) for this application is \$450
 - **\$450** for **25** total claims over 20.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

• Early Pre-grant Publication has been requested, however the early Pre-GRANT Publication Fee of \$300 as 37 CFR 1.18(d) has not been paid. The application will be published as per the normal publication schedule.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-03)
Approved for use through 07/31/2005. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

F	EE	TR	AN	SMIT	TAL
,		for	FY	2004	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

Complete if Known				
Application Number	10/768,722			
Filing Date	January 30, 2004			
First Named Inventor	Pamela S. Greene			
Examiner Name	ТВА			
Art Unit	3728			
	005127.00218			

\$ 1 690 00

TOTAL AMOUNT OF PATIMENT \$ 1,090.00				Alloney Docket No.							
METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)						
					3. ADDITIONAL FEES						
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order					Large	Entity	Small E	ntity			
Deposit Accou	int:					Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Deposit						Code	(\$)	Code	(\$)		130.00
Account	19-073	33				1051	130	2051	65	Surcharge - late filing fee or oath	130.00
Number						1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit	Danna	_ 0 1	Nita off 1	TD		1053	130	1053	130	Non-English specification	
Account Name	Danne	CK	Witcoff, L	. טוי.		1812	2,520	1812	2,520	For filing a request for reexamination	\vdash
		· /-b-	-lll 4h-4 -m-			1804	920°	1804	920*	Requesting publication of SIR prior to Examiner action	
The Director is at Charge fee(s) i						1805	1.840*	1805	1,840*	Requesting publication of SIR after	\vdash
☐ Charge any ad	ditional fee(s	s) durir	ng the pender	ncy of this application	1	1803	1,040	1005	1,040	Examiner action	
☐ Charge fee(s) i	ndicated be	low, ex	ccept for the	filing fee		1251	110	2251	55	Extension for reply within first month	
to the above-ident						1252	420	2252	210	Extension for reply within second	
	FEI	E CALC	CULATION							month	
1. BASIC,F	ILING FEE					1253	950	2253	475	Extension for reply within third month	
Large Entity	Small Entity					1254	1,480	2254	740	Extension for reply within fourth month	
	ee Fee	Fe	e Description			1255	2.010	2255	1,005	Extension for reply within fifth month	
	Code (\$)			Fee Paid 770.00	٦.	1401	330	2401	165	Notice of Appeal	
	2001 385		lity filing fee	770.00		1402	330	2402	165	Filing a brief in support of an appeal	
.002	2002 170 2003 265		sign filing fee		\dashv	1403	290	2403	145	Request for oral hearing	
	2003 265 2004 385		ant filing fee		\dashv		4 540	4454	4.540	Petition to institute a public use	
	2004 385 2005 80		issue filing fee ovisional filling		4	1451	1,510	1451	1,510	proceeding	
1005 100 2005 00 1 10010101101111111111111111111					1452	110	2452	55	Petition to revive – unavoidable	—	
	SUBTO	TAL (1	1)	\$770.00		1453	1,330	2453	665	Petition to revive – unintentional	<u> </u>
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2. EXTRA CLA	IIVI FEES I			ee from Fee		1502	480	2502	240 320	Design issue fee Plant issue fee	
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Total Claims 45	- 20 **	= 2	5 X	18 = 450.	₫	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	-
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•	Const! E	414. <i>.</i>	_		_	8021	40	8021	40	per property (times number of properties)	40.00
Large Entity Fee Fee	Small E	ntity Fee				1809	770	2809	385	Filing a submission after final rejection	
Code (\$)	Code	(\$)	Fee Descrip	tion						(37 CFR § 1.129(a))	
1202 18	2202	9	Claims in ex	cess of 20		1810	770	2810	385	For each additional invention to be	
1201 86	2201	43	Independent	claims in excess of 3						examined (37 CFR § 1.129(b))	
1203 290	2203	145		endent claim, if not pa		1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86	2204	43	** Reissue ir original pate	ndependent claims over	er	1802	900	1802	900	Request for expedited examination	
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1205 18	2205	9	over original		u, .u	l				AND BULLION - For	200.00
					Other fee (specify) Early Pre-GRANT Publication Fee 300.00				300.00		
SUBTOTAL (2) \$ 450.00								. . .		00	
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**or number previously paid, if greater; For Reissues, see above					Щ.						

1	SUBMITTED BY				Com	plete (if applicable)
I	Name (Print/Type)	Gregory J. Cohan, Esq.	Registration No. (Attorney/Agent)	40,959	Telephone	(617) 720-9600
Į	Signature	Lilgon	1. Coha		Date	July 20, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT ATTORNEY DOCKET NO. 005127.00218

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:			Confirmation No.: 5118
• •	Pamela S. Greene et a.)	
)	Examiner: TBA
Serial No.:	10/768,722)	
)	Art Unit: 3728
Filed:	January 30, 2004)	
)	
Title: Al	RTICLE OF FOOTWEAR FOR SAND SPORTS)	
		1	

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(b), mailed June 18, 2004 (a copy of which is enclosed), Applicant as a large entity submits herewith the following:

- 1. Copy of Notice to File Missing Parts of Nonprovisional Application;
- 2. FY2004 Fee Transmittal which includes payment of the filing fees of \$770.00, excess claim fee charge of \$450.00, the surcharge of \$130.00 for late filing of the basic filing fee and declaration; recordation fee of \$40.00 for one (1) Assignment; and early Pre-GRANT publication fee of \$300.00;
- 3. An Inventor Declaration in compliance with 37 CFR 1.63;
- 4. Assignment with Recordation Cover Sheet; and
- 5. Return receipt postcard.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply the instant filing fees, in the amount of \$1,690.00, and any other charges or any credits, to Deposit Account No. 19-0733. A duplicate of this paper is enclosed.

Respectfully submitted,

Dated:

07/22/2004 DEMMANU1 00000058 190733

10768722

04 FC:1504

300.00 DA

Ву

Gregory J. Cohan, Reg. No. 40,9 BANNER & WITCOFF, LTD. 28 State Street, 28th Floor

Boston, MA 02109 (617) 720-9600

PATENT ATTORNEY DOCKET NO. 005127.00218

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applic	ation of:) Confirmation No.: 5118		
••	Pamela S. Greene et al.)		
Serial No.:	10/768,722) Examiner: TBA		
Filed:	January 30, 2004) Art Unit: 3728		
2	TICLE OF FOOTWEAR FOR SAND ORTS)))		

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF EXPRESS MAIL

"Express Mail" Label No. EV 436 813 550 US

Date of Deposit: July 20, 2004

I hereby state that the following:

- 1. Response to Notice to File Missing Parts of Application;
- 2. Copy of Notice to File Missing Parts of Nonprovisional Application;
- 3. FY2004 Fee Transmittal;
- 4. Joint Declaration and Power of Attorney (executed original);
- 5. Assignment with Recordation Cover Sheet; and
- 6. Return Receipt Postcard.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Donna M. Tomaso

BANNER & WITCOFF LTD

28 State Street, 28th Floor

Boston, MA 02109

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